ATTORNEY DOCKET NO.: 3698.D10 PATENT APPLICATION

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

nventor(s):

John S. HENDRICKS

Confirmation No.: 5653

Application No.:

09/966,594

Examiner:

Filing Date:

October 1, 2001

Group Art Unit:

2611

Title:

SET TOP TERMINAL FOR ORGANIZING PROGRAM OPTIONS AVAILABLE IN TELEVISION

DELIVERY SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RECEIVED

Technology Center 2600

MAY 0 6 2004

Sir:

This Information Disclosure Statement is Submitted:

	under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
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INFORMATION DISCLOSURE STATEMENT

under 37 CFR 1.97(c) together with either a:
Statement under 37 CFR 1.97(e), or
a \$180 00 fee under 37 CFR 1 17(p)

(after the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:
Statement under 27 CER 1 07

Statement under 37 CFR 1.97(e)(1) or (2), and a \$180.00 petition fee set forth in 37 CFR 1.17(p).

(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 50-2849 the sum of \$ At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 50-2849 pursuant to 37 CFR 1.25.

Applicant(s) submit herewith Form(s) PTO 1449 - References listed were disclosed in Patent Application No. 07/991,074, filed 12/9/1992, which in turn is related to Patent Nos. 5,798,785; 5,600,364; 5,659,350; 5,734,853; 5,990,927; 5,682,195; 5,559,549; 6,557,173; 6,201,536; 5,600,573; 6,408,437; 6,160,989; 6,539,548; 6,515,680; 6,052,554; 6,463,585; 6,181,335, and 6,675,386, and, as such, copies thereof are not included in this Information Disclosure Statement in view of 37 CFR 1.98(d).

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, and English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

ANDREWS KURTH LLP

Intellectual Property Department 1701 Pennsylvania Avenue, N.W. Suite 300

Washington, D.C. 20006

Telephone No.: (202) 662-2700

Kelly T. Lee Attorney/Agent for Applicant(s)

Reg. No. 47,743

Date: May 5, 2004

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Sheet 1of 27

ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. FORM PTO 1449 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT **FILING DATE GROUP** October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT Pages, Columns, Lines Where Relevant **PUBLICATION DATE** NAME INITIAL NUMBER Passages or Figures Appear 1A 5,357,276 October 18, 1994 Banker et al. **1B** 4,712,105 December 8, 1987 Kohler RECEIVED 1C 5,253,066 October 12, 1993 Vogel 1D 5,152,011 September 29, 1992 Schwob MAY 0 6 2004 1E 4,996,597 February 26, 1991 Duffield Technology Center 2600 1F 5,341,166 August 23, 1994 Garr et al. 1G 4,706,121 November 10, 1987 Young 1H 4.928.168 May 22, 1990 **Iwashita** 11 5,144,663 September 1, 1992 Kudelski et al. **1**J 5,105,268 April 14, 1992 Yamanouchi et al. 1K 4.947.429 August 7, 1990 Bestler et al. 1L 5,093,718 March 3, 1992 Hoarty et al. FOREIGN PATENT DOCUMENTS Check if DOCUMENT NAME OF PATENTEE Pages/Columns/Lines Where PUBLICATION DATE Translation NUMBER OR APPLICANT Relevant Passages/Figures Appear attached 1M JP 3-114,375 May 15, 1991 Fujita 1N DE 3,935,294 April 25, 1991 Mahmud et al. 10 EP 0420123 April 3, 1991 Fujita et al. 1P JP 62-24,777 February 2, 1987 Kawashima 1Q JP 1-130,683 May 23, 1989 Doumura OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R Reimer, Memories In My Pocket, February 1991. Olshansky et al., Subscriber Distribution Networks Using Compressed Digital Video. 18 November 1992. 1T Dinaro et al., Markets and Products Overview, 1991. **EXAMINER** DATE CONSIDERED 01/13/2010 /James Sheleheda/

Sheet 2of 27

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Sheet 4of 27

ATTY. DOCKET NO. APPLICATION NO. CONFIRMATION NO. **FORM PTO 1449** 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION FILING DATE GROUP DISCLOSURE STATEMENT October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT Pages, Columns, Lines Where Relevant **PUBLICATION DATE** NAME INITIAL NUMBER Passages or Figures Appear 1A September 6, 1994 Tsuda 5,345,594 1B May 16, 1995 Sakuma et al. 5,416,508 RECEIMED October 2, 1990 Tanaka 1C 4,961,109 MAY 0 6 20104 1D 4,829,558 May 9, 1989 Welsh Technology Center 2600 1E 5,355,162 October 11, 1994 Yazolino et al. 1F 5,182,639 January 26, 1993 Jutamulia et al. 1G 5.132.789 July 21, 1992 Ammon et al. 4,876,736 October 24, 1989 1H Kiewit March 8, 1994 Trani et al. 11 5,293,540 Johnson et al. **1**J 5,001,554 March 19, 1991 1K 5,410,326 April 25, 1995 Goldstein 1L 5,047,867 September 10, 1991 Strubbe et al. FOREIGN PATENT DOCUMENTS NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER PUBLICATION DATE PATENTEE OR Where Relevant Translation Passages/Figures Appear **APPLICANT** attached 1M Taiw. Pub. 234223 November 11, 1994 Yan et al. 1N Taiw. Pub 236065 December 11, 1994 Judin Keiichi et al. 10 Taiw. Pub 235359 December 1, 1994 1P Taiw. Pub 235358 December 1, 1994 Glince 1Q Taiw. Pub 236744 December 21, 1994 Franklin OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R Hewlett Packard Co., HP-41C Operating Manual, December, 1982 Sorce, et al., Human Factors In Telecommunications, September 10-14, 1990. 18 Herron, T.L., Teaching With The Internet, Vol. 1, No. 3 1998. 1T DATE CONSIDERED **EXAMINER** /James Sheleheda/ 01/13/2010

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Sheet 5of 27

ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. **FORM PTO 1449** 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION FILING DATE GROUP DISCLOSURE STATEMENT October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION U.S. PATENT DOCUMENTS **EXAMINER DOCUMENT** Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A October 4, 1994 5,353,121 Young et al. 1B O'Donnell et al. 5,414,426 May 9, 1995 RECEIVED 5,404,393 1C April 4, 1995 Remillard 1D 5,237,311 August 17, 1993 Mailey et al. MAY 0 6 2004 1E 5,078,019 January 27, 1992 Aoki Technology Center 2600 1F Wachob 5,155,591 October 13, 1992 1G 5.432.542 July 11, 1995 Thibadeau et al. 1H 5,036,537 July 30, 1991 Jeffers et al. 11 5,323,240 June 21, 1994 Amano et al. 5,367,571 1J November 22, 1994 Bowen et al. 1K 5,151,782 September 29, 1992 Ferraro 1L 5,206,954 April 27, 1993 Inoue et al. **FOREIGN PATENT DOCUMENTS** NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER PUBLICATION DATE PATENTEE OR Where Relevant Translation Passages/Figures Appear **APPLICANT** attached 1M Taiw. Pub 238461 April 23, 1992 Manullan Jr. 1N EP 167,237 January 8, 1986 Campbell 10 EP 149,536 January 18, 1984 Baker 1P EP 158,548 October 16, 1985 Waalboer June 19, 1985 1Q EP 145,063 Van Weezel OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R Azuma, J., Creating Educational Web Sites, March 1999. 18 Goldberg, M.W., et al., World Wide Web Course Tool..., May 1996. 1T Waite Group Press, An Interactive Lesson...., "Online! 1996. **EXAMINER** DATE CONSIDERED /James Sheleheda/ 01/13/2010

Sheet 6of 27

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Sheet 7 of 27

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ATTY. DOCKET NO. APPLICATION NO. CONFIRMATION NO. **FORM PTO 1449** 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT** FILING DATE GROUP October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A 5,661,516 August 26, 1997 Carles **1B** 5,724,091 March 3, 1998 Freeman et al. RECEIVED 1C 5,343,516 August 30, 1994 Callele et al. MAY 0 6 2004 1D 4,805,014 February 14, 1989 Sahara, et al. Technology Center 2600 4,381,522 1E April 26, 1983 Lambert 1F 5.500.794 March 19, 1996 Fujita et al. 1G 5,253,341 October 12, 1993 Rozmanith et al. 5,073,930 1H December 17, 1991 Green et al. 11 December 9, 1997 Peters et al. 5,696,906 1J 5,103,314 April 7, 1992 Keenan 1K 5,404,505 April 4, 1995 Levinson 1L 5,390,348 February 14, 1995 Magin et al. FOREIGN PATENT DOCUMENTS NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER PUBLICATION DATE PATENTEE OR Where Relevant Translation Passages/Figures Appear APPLICANT attached WO 92/10040 1M June 11, 1992 Rovira et al. 1N EP 0299830 January 18, 1989 Freeman 10 EP 0340643 November 8, 1989 Richards 1P WO 88/01463 February 25, 1988 Seth-Smith et al. 1Q EP 0396186 October 7, 1990 Van de Meent OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) Sheldon, Thomas, Windows 3 Made Easy (ISBN: 0-07881-5371, OsborneMcGraw-Hill), 1R January 1989. Dan et al., Multimedia Systems, A Dynamic Policy of Segment Replication for Load-18 Balancing in Video-On-Demand Servers, July 1995. Ikezaki, et al., A New Display Pointing Method, IEEE, Transaction On Comsumer 1T Electronics, June 8, 1990. **EXAMINER** DATE CONSIDERED /James Sheleheda/ 01/13/2010

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	1A	5,038,402	Α	ugust 6, 199	91		Robbins	3				
	1B	5,663,757	Sep	otember 2, 1	997		Morales et	t al.		RE(CEIV	ED
	1C	5,579,522	Nov	vember 26, 1	1996	(Christeson	et al.		MAY	Y 0 6 20) 4
	1C 5,579,522 November 1D 5,689,663 November 1E 4,885,803 December 1F 4,695,880 September 1G 4,829,372 May 9 1H 5,235,419 August 2 1 4,197,590 April 8 1J 3,978,470 August 3				1997		William	s		Technol	ogy Cent	er 2600
	1E	4,885,803	De	cember 5, 1	989		Richard	s		TOOHION	ogy och	71 2000
	1F	4,695,880	Sep	tember 22,	1987		Johnson e	t al.				
	1G	4,829,372		May 9, 1989	9		McCalley e	et al.				
	1H	5,235,419	Aı	ugust 10, 19	93		Krause	!				
	11	4,197,590		April 8, 1980	o		Sukonick e	t al.				
	1J	3,978,470	Aı	ugust 31, 19	76		McGuire	e				
	1K	4,528,589		July 9, 1985	5		Block et	al.				
	1L	4,920,432	,	April 24, 199	0		Eggers et	al.				
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	1M	WO 96/08109)	March 14	1, 1996		Carle	es				
	1N	WO 94/16527	,	July 21,	1994		Ober	le				
ļ	10	EP 0620689		October 1	9, 1994		Lamb	ert				
	1P	WO 97/22112	2	June 19	, 1997		Huffman	et al.				
	1Q	WO 98/18086	3	April 30	, 1998		Reynolds	s et al.				
	C	OTHER REFERE	NCE	ES (includin	g Autho	or, ī	Title, Date,	Pertine	ent Pages,	etc.)		
	1R	SuperVGA D	ispla	ay Products	user's	s Gı	uide, 1 st Ec	lition, ©	D Nokia D	Data AB 1	991	
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	1A	5,221,962	,	June 22, 199	3	Backus et	t al.						
	1B	5,438,372	F	August 1, 199	15	Tsumori e	t al.	F	RECE	EIVE	$\exists 1$		
	1C	4,739,510		April 19, 198	В	Jeffers et	al.		MAY 0	6 200	<u> </u>		
	1D	5,003,384	N	/larch 26, 199	91	Durden et	t al.	_		_			
	1E	5,432,561		July 11, 1995	5	Strubbe	e	Tec	hnology	Cente	<u>r 2</u> (
	1F	5,339,239	Α	ugust 16, 19	94	Manabe e	t al.				\exists		
	1G	5,805,204	Se	ptember 8, 1	998	Thompson	et al.	1			٦		
	1H	4,985,697	Ja	anuary 15, 19	91	Boultor	1				٦		
	11	5,737,725		April 7, 1998	;	Case							
	1J	<u> </u>		ugust 25, 19	98	Escallo	n						
,	1K	5,917,915	J	une 29, 199	99 Hirose		2						
	1L	5,890,122	M	arch 30, 199	arch 30, 199	99	Van Kleeck	, et al.				\exists	
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	1M	WO 98/0834	4	February 2	6, 1998	Sachs	et al.						
	1N	WO 98/0283	6	January 2	2, 1998	Cars	on						
	10	EP 0838798		March 29	, 1998	L'Allier	et al.						
	1P	GB 2269302	2	February 2	2, 1994	Tanaka	et al.						
	1Q	JP 9-284571		October 3	1, 1997	Kiyo	shi						
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				et al.	Reynolds e		April 18, 2000	P	6,052,717	1A	
#	EIV	RFC		et al.	Kessenich,)	March 7, 2000	N	6,034,680	1B	
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er	gy Cente	echnolog	Ţ	Ringstad		75	nuary 14, 19	Ja	3,860,873	1E	
7					Wilson		April 21, 1998	1	5,742,680	1F	
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1					Kerr	98	cember 1, 19	De	5,844,600	1H	·
1				al.	Martin et	95	nuary 24, 19				
1					Maki	2	June 23, 1992				
				et al.	McNamara ,	April 30, 1982	A	4,533,948	1K	,	
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				io	Tosh	5, 1995	September	9	JP 7-235909	1M	
				an	Myny	1997	June 5,	4	WO 97/2027	1N	
		· <u>-</u>		et al.	Vergo e	1999	June 23,	7	EP 0924687	10	
7				et al.	Juang e	, 1999	January 20	3	EP 0892388	1P	
				et al.	Huffman	1997	June 19,	0	WO 97/2211	1Q	
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·	1A	5,815,671	Sep	otember 29, 1	1998	Morriso	n			
	1B	6,029,045	Fe	bruary 22, 20	000	Picco et	al.		REC	EIVE
	1C	5,774,170	,	June 30, 199	8	Hite et a	al.	-		6 2004
	1D	4,930,160		May 29, 1990	0	Vogel		_		
	1E	5,389,964	Fe	bruary 14, 19	995	Oberle et	al.	Te	y Center (
	1F	5,512,934	,	April 30, 199	6	Kochans	ski			
	1G	4,674,085	,	June 16, 198	7	Aranguren	et al.			
	1H	4,816,904	N	/larch 28, 198	39	McKenna e	et al.			
	11	5,953,485	Sep	otember 14, 1	1999	Abecass	sis			
	1J	6,166,778	De	cember 26, 2	2000	Yamamoto	et al.			
	1K	6,163,316	De	cember 19, 2	2000	Killian				
	1L	6,204,885	N	March 20, 200	01	Kwoh				
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, <u>, , , , , , , , , , , , , , , , , , </u>	1M	JP 6-13448	9	January 1	2, 1996	Tomo	hiro			
	1N	WO 99/4549	1	September	10, 1999	Eberhard	d et al.			
··	10	WO 94/0732	7	March 31	, 1994	Marasovio	ch, et al.			
	1P	WO 99/1870	1	April 15,	1999	Henderso	n, et al.			
	1Q	WO 97/4168	8	November	6, 1997	D'Ago:	stino			
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	1A	5,341,426	Α	ugust 23, 199	94	Barney e	t al.				
	1B	4,331,974		May 25, 1982	2	Cogswell	et al.		RFC	EIVE	‡[
-	1C	5,600,364	Fe	ebruary 4, 19	97	Hendricks	et al.				Γ
	1D	5,192,999	1	March 9, 199	3	Graczyk e	et al.		••••	0 6 200 4	
	1E	5,247,575	Sep	otember 21, 1	993	Sprague	et al.	Te	echnolog	y Center	2
	1F	5,347,304	Sep	otember 13, 1	994	Moura e	t al.				1
	1G	5,421,031		May 30, 1995	5	De Be	у				1
	1H	5,600,775	Fe	ebruary 4, 19	97	King, et	al.				1
	11	5,929,849		July 27, 1999)	Kikini	s				1
	1J	5,600,368	Fe	ebruary 4, 19	97	Matthews	s, III				1
	1K	4,779,138	0	ctober 18, 19	88	Nomura,	et al.				1
	1L	5,957,695	Sep	otember 28, 1	999	Redford,	et al.				1
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	1M	JP 40805161	4	February 2	0, 1996	Inag	aki]
	1N	JP 41028556	8	October 23	3, 1998	Shisl	nino]
	10	JP 40822832	8	September	3, 1996	S Fujio	oka]
	1P	WO 96/1730	6	June 6,	1996	Laurser	n, et al.				1
	1Q	EP 0702491	·	March 20	, 1996	Belknar	o, et al.				1
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	1A	5,986,690	Nov	ember 16, 19	1999 Hendricks							
	1B	5,600,573	Fe	bruary 4, 199	97	Hendricks,	et al.	RECEIVE				
	1C	5,528,281	J	une 18, 1996	3	Grady et	al.					
	1D	4,835,607	N	/lay 30, 1989)	Keith		MAY 0 6 200				
	1E	5,479,508	Dec	ember 26, 19	995	Bestler, et	al.	Technology Cen				
	1F	3,647,977	M	larch 7, 1972	2	Closs						
	1G	5,508,733	А	pril 16, 1996	5	Kassatl	у					
	1H	5,805,677	Sep	tember 8, 19	98	Ferry et	al.					
	1l 5,640,196 Ju		une 17, 1997	7	Behrens e	t al.						
	1J	6,252,690	Jı	une 26, 2001	1	Laine						
	1K	5,497,187	M	larch 5, 1996	3	Banker et	al.		a.s.			
	1L	4,558,464	Dec	ember 10, 19	, 1985 O'Brien Jr.							
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	1M	JP 4233886	3	June 25,	1991	Kauffman et al.						
	1N	WO 98/1099	7	January 15	5, 1998	Kret	s					
	10	WO 96/4147	3	December 1	19, 1996	Ilcisin,	et al.					
	1P	WO 99/2641	5	May 27,	1999	Bar-	El					
	1Q	WO 99/6671	9	December 2	23, 1999	Zigmond	, et al.					
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1N	JP 60143086	July 29, 1985	Hiroyuki		
10	EP 0835915	April 8, 1998	Alfred		
1P	EP 0586954	March 16, 1994	Iglehart		
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	1A	5,793,872	Au	gust 11, 199	98	Hirayama	et al.				
	1B	5,833,468	Nove	ember 10, 1	998	Guy et a	al.		DE	CEIV	
	1C	5,467,402	Nove	ember 14, 1	995	Okuyama	et al.		ᆮᆫ		
	1D	5,818,511	Oc	tober 6, 199	98	Farry et al. Kurisu			04		
	1E	4,677,685	J۱	une 30, 198	7			Technology Cen			er 26
	1F	6,201,536	Ma	arch 13, 200)1 Hendricks et al.						
	1G	5,550,863	Au	gust 27, 199	96 Yurt et al.						
	1H	4,279,035	J	uly 14, 1981	1	Skerlo	Skerlos				
	11	4,887,308	Dec	ember 12, 1	989	989 Dutton					
	1J	4,513,315	Α	pril 23, 198	5 Dekker et al.						
	1K	5,270,809	Dec	ember 14, 1	993 Gammie et al.						
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	1M	WO 01/11874	.	February 15, 2001		2001 Lortz					
	1N	WO 01/18665	5	March 15	, 2001						
	10	WO 98/53581		November 2	26, 1998						
	1P	EP 0646856		April 5,	1995	Gaw e	et al.				
	1Q	GB 2255214A October 2			8, 1992 Dufresne et al.						
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Sheet 20of 27

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	1D	5,134,712		July 28, 199	2		Yamamo	oto	MAY 0 6 20				
	1E	4,451,701		May 29, 198	4		Bendig	3	Technology Ce			ente	12
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	11	6,331,877	Dec	cember 18, 2	2001	001 Bennington, et al.					,		1
	1J	5,003,591	N	larch 26, 19	91 Kauffman, et al.							1	
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	1L	6,119,154	Sep	otember 12, 2	2000 Weaver, et al.								1
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	1M	JP 61-20258	7	March 5	March 5, 1985 March 24, 1993								
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	1P	JP 3029456	P 3029456 Febru			1 Kiyoshi		shi					
	1Q	WO 01/0168	4, 2001		McCoy,	et al.							
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Sheet 22of 27

ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. ORM PTO 1449 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION FILING DATE **GROUP** DISCLOSURE STATEMENT October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A 5,819,301 October 6, 1998 Rowe, et al. 1B 5,727,065 March 10, 1998 Dillon RECEIVED 5,844,890 1C December 1, 1998 Delp, et al. MAY 0 6 2004 1D 5,721,956 February 24, 1998 Martin, et al. Technology Center 2600 1E December 24, 1996 5,588,139 Lanier, et al. 1F 5,588,104 December 24, 1996 Lanier, et al. 1G 5.737.533 April 7, 1998 de Hond 1H 5,745,710 April 28, 1998 Clanton, III, et al. 11 5,774,122 June 30, 1998 Kojima, et al. 1J 5,260,788 November 9, 1993 Takano, et al. 5,151,785 1K September 29, 1992 Citta 1L 5,335,313 August 2, 1994 Douglas **FOREIGN PATENT DOCUMENTS** NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER **PUBLICATION DATE** PATENTEE OR Where Relevant Translation Passages/Figures Appear **APPLICANT** attached 1M WO 98/28906 July 2, 1998 Rosser EP 0 488 379 1N June 3, 1992 Takano, et al. 10 EP 0 486 989 November 18, 1991 Dini 1P 1Q OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R **1S** 1T **EXAMINER** DATE CONSIDERED /James Sheleheda/ 01/13/2010



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PATENT APPLICATION Sheet 23of 27 ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. ORM PTO 1449 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION FILING DATE GROUP **DISCLOSURE STATEMENT** October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A 4,484,218 November 20, 1984 Boland, et al. **1B** 5,054,071 October 1, 1991 Bacon RECEIVED 1C 3,794,923 February 26, 1974 Ellis MAY 0 6 2004 1D 4,509,198 April 2, 1985 Nagatomi Technology Center 2600 1E 5,754,938 May 19, 1998 Herz, et al. 1F 01/0013123 August 9, 2001 Freeman et al. 1G 5,848,396 December 8, 1998 Gerace 1H 5,517,502 May 14, 1996 Bestler, et al. 11 5,745,556 April 28, 1998 Ronen 1J 5,809,204 September 15, 1998 Young, et al. 1K 5,880,769 March 9, 1999 Nemirofsky, et al. 1L 5,600,364 February 4, 1997 Hendricks, et al. **FOREIGN PATENT DOCUMENTS** NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER **PUBLICATION DATE** PATENTEE OR Where Relevant Translation Passages/Figures Appear **APPLICANT** attached 1M 1N 10 1P 1Q OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R

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Sheet 24of 27

ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. **FORM PTO 1449** 3698.D10 09/966,594 5653 APPLICANT LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT** FILING DATE GROUP October 1, 2001 2611 (Use several sheets if necessary) REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A 4,985,761 January 15, 1991 Adams 1B 4,695,879 September 22, 1987 Weinblatt RECEIVED 1C 5,029,232 July 2, 1991 Nall MAY 0 6 2004 1D 5,251,324 October 5, 1993 McMullan, Jr. Technology Center 2600 1E 5,659,350 August 19, 1997 Hendricks, et al. 1F 6,539,548 March 25, 2003 Hendricks, et al. 5,818,441 October 6, 1998 1G Throckmorton, et al. 1H 01/0027493 October 4, 2001 Wallace 11 6,138,147 October 24, 2000 Weaver, et al. 1J 5.835.087 November 10, 1998 Herz, et al. 1K 6,486,892 November 26, 2002 Stern 1L 6,177,931 January 23, 2001 Alexander, et al. **FOREIGN PATENT DOCUMENTS** NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER **PUBLICATION DATE** PATENTEE OR Where Relevant Translation Passages/Figures Appear attached **APPLICANT** 1M 1N 10 1P 1Q OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R **1S** 1T **EXAMINER** DATE CONSIDERED /James Sheleheda/ 01/13/2010

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	1F	5,311,425	Þ	August 8, 198	9 Inada			<u> </u>			
	1G	6,288,753	Sep	otember 11, 2	2001 DeNicola, et al.					<u> </u>	
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Sheet 26of 27

ATTY, DOCKET NO. APPLICATION NO. CONFIRMATION NO. FØRM PTO 1449 3698.D10 09/966,594 5653 **APPLICANT** LIST OF PATENTS AND PUBLICATIONS John S. HENDRICKS FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT** FILING DATE **GROUP** October 1, 2001 2611 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** REFERENCE DESIGNATION **EXAMINER** DOCUMENT Pages, Columns, Lines Where Relevant PUBLICATION DATE NAME INITIAL NUMBER Passages or Figures Appear 1A 5,490,247 February 6, 1996 Tung, et al. 1B 5,493,568 February 20, 1996 Sampat, et al. RECEIVED 1C 5,621,793 April 15, 1997 Bednarek, et al. MAY 0 6 2004 1D 6,182,050 Ballard January 30, 2001 Technology Center 2600 1E 5,235,328 August 10, 1993 Kurita 1F 4,825,220 April 25, 1989 Evans, et al. 6,463,585 October 8, 2002 Hendricks, et al. 1G 1H 02/0087980 July 4, 2002 Eldering, et al. 11 5,929,932 July 27, 1999 Otsuki et al. 1J 5,307,173 April 26, 1994 Yuen et al. 1K 6,016,141 January 18, 2000 Knudson et al. 1L 4,646,150 February 24, 1987 Robbins, et al. **FOREIGN PATENT DOCUMENTS** NAME OF Pages/Columns/Lines Check if DOCUMENT NUMBER PUBLICATION DATE PATENTEE OR Where Relevant Translation **APPLICANT** Passages/Figures Appear attached 1M 1N 10 1P 1Q OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.) 1R **1S** 1T **EXAMINER** DATE CONSIDERED /James Sheleheda/ 01/13/2010

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,	1A	4,907,082	March 6, 1990)	Richard	ds					
,	1B	5,594,509	Ja	nuary 14, 19	97	Florin, et	t al.	R	ECE	IVI	ΕI	
,	1C	4,935,924		June 19, 1990 April 14, 1987		D Baxter		MAY 0 6 2004				
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